



NEW ZEALAND'S EXCHANGE  
TE PAEHOKO O AOTEAROA

## NZX Limited - Monthly Shareholder Metrics

October 2022

These shareholder metrics represent the key operations of NZX's business.

### Core Markets

NZX Listed Issuers	Oct 2022	Change YoY	Capital Listed and Raised	Oct 2022	YTD	Change YoY
Total equity securities	132	-0.8%	Total capital listed and raised	\$1,206 m	\$17,767 m	39.5%
Total fund securities	49	2.1%	<b>New Capital Listed</b>			
Total retail debt securities	147	4.3%	Total capital listed by all issuers	\$350 m	\$6,176 m	2.5%
Total wholesale debt securities	9	12.5%	Equity listings	-	\$59 m	-93.4%
Total other securities	2	-50.0%	Fund listings	-	\$7 m	n/a
Total listed securities	339	1.5%	Retail debt listings	\$350 m	\$5,310 m	3.5%
			Wholesale debt listings	-	\$800 m	n/a
Total NZX Main Board equity issuers	131	-0.8%	<b>Secondary Capital Raised</b>			
Total NZX Main Board fund issuers	49	2.1%	Total capital raised by all issuers	\$856 m	\$11,591 m	72.7%
Total NZX Debt Market retail debt issuers	50	4.2%	Total equity raised - NZ issuers	\$48 m	\$2,631 m	21.1%
Total NZX Debt Market wholesale debt issuers	2	0.0%	Total equity raised - dual or foreign issuer	-	\$4,181 m	8797.3%
Total other issuers	2	-50.0%	Total funds raised - NZ issuers	\$49 m	\$883 m	-53.4%
Total unique issuers	208	1.0%	Total funds raised - dual or foreign issuer	\$33 m	\$494 m	14.0%
			Total retail debt raised	\$726 m	\$2,991 m	99.6%
			Total wholesale debt raised	-	\$411 m	-38.4%
			Total number of secondary capital raising events	148	1860	-39.2%
			Equity issuers - capital raised < \$10m	22	233	-39.5%
			Equity issuers - capital raised ≥ \$10m < \$100m	1	19	-32.1%
			Equity issuers - capital raised ≥ \$100m	-	9	80.0%
			Fund issuers - capital raised	123	1569	-39.9%
			Retail debt issuers - capital raised	2	29	-
			Wholesale debt issuers - capital raised	-	1	-
Market Capitalisation	Oct 2022	Change YoY	% of GDP			
Total market capitalisation	\$221,930 m	-4.4%	61.8%			
All equity	\$162,937 m	-9.8%	45.3%			
All funds	\$7,396 m	-0.1%	2.1%			
All other	\$1 m	-97.1%	0.0%			
Total NZX Main Board	\$170,334 m	-9.5%	47.4%			
Retail debt	\$37,729 m	9.4%	10.5%			
Green bonds	\$5,810 m	63.7%	1.6%			
Wholesale debt	\$8,057 m	37.3%	2.2%			
Total NZX Debt Market	\$51,596 m	17.5%	14.4%			
Cash Market	Oct 2022	Change YoY				
Total value traded	\$2,279 m	-32.2%				
Auction Value Traded	\$496 m	-16.6%				
On-market value traded	\$1,398 m	-35.6%				
% of value on-market	61.4%	-4.9%				
Total trades	722,450	-34.9%				
Daily average value traded	\$114 m	-32.2%				
Daily average trades	36,123	-34.9%				
Total Equity Transactions						
Total trades	717,660	-35.2%				
Value traded	\$2,055 m	-36.5%				
% of value on-market	65.6%	-0.5%				
Average on-market trade size	\$1,884	-2.4%				
Total Debt Transactions						
Total trades	4,790	37.4%				
Value traded	\$224 m	72.5%				
Cumulative Numbers - YTD						
Number of trades	10,130,301	-22.4%				
Total value traded	\$31,242 m	-29.4%				
% of value on-market	64.7%	4.3%				
Clearing House / Depository	Oct 2022	Change YoY				
Gross value of cleared trades over month	\$2,275 m	-32.3%				
Assets under custody in depository	\$6,061 m	5.3%				
Depository Uplifts	8,297	-9.1%				
Settlement Lines	18,573	-1.1%				
OTC Trades	6,180	-5.9%				
Derivatives	Oct 2022	Change YoY				
Futures - total lots traded	41,402	60.7%				
Options - total lots traded	361	-86.7%				
Total derivatives lots traded	41,763	46.6%				
Total derivatives lots traded - YTD	372,599	42.3%				
Open interest	111,721	72.2%				
Notional value traded (\$USD)	\$307 m	52.0%				
Options to futures ratio	0.9%	-91.5%				
Negotiated Large Trade (NLT) %*	22.0%	n/a				
*Following SGX-NZX partnership, block trades are now represented by SGX Negotiated Large Trades						
Energy and Environment Contracts	Oct 2022	Change YoY				
Operating / Technology contracts	18	0.0%				
- contracts ≥ \$500k p.a.	5	0.0%				
- contracts < \$500k p.a.	13	0.0%				

NZX Indices Performance			Wholesale Data		
	Oct 2022	Change YoY		Oct 2022	Change YoY
S&P/NZX 50 Index (Gross)	11,338	-13.4%	Terminal numbers - professional	6,835	-0.2%
S&P/NZX 50 Index (Capital)	4,520	-15.9%	Terminal numbers - retail	1,317	-39.8%
S&P/NZX 50 Portfolio Index	7,323	-12.6%	Licences - value ≥ \$20k p.a.	63	8.6%
S&P/NZX NZ Fixed Interest Composite Index	113	-5.6%	Licences - value < \$20k p.a.	83	2.5%
S&P/NZX A-Grade Bond Index	5,393	-4.6%			

Direct Data Products			Dairy Data Subscriptions		
	Oct 2022	Change YoY		Oct 2022	Change YoY
Proprietary security subscription products	307	-1.0%	Dairy data subscriptions	441	-1.3%
- products ≥ \$3,000 p.a.	105	-4.5%	- products ≥ \$1,500 p.a.	105	-0.9%
- products < \$3,000 p.a.	202	1.0%	- products < \$1,500 p.a.	336	-1.5%

## Smartshares

	Oct 2022	Change YoY
Total external funds under management	\$8,117 m	37.1%

Scheme FUM		
	Oct 2022	Change YoY
SuperLife Kiwisaver	\$1,772 m	26.9%
Workplace Savings Master Trusts	\$2,893 m	101.7%
Smartshares Exchange Traded Funds - External	\$2,415 m	7.8%
Smartshares Exchange Traded Funds - Total (external and internal)	\$5,685 m	6.6%

Other Metrics		
	Oct 2022	Change YoY
Units on issue	2,104 m	17.2%
Value of stock lent over month	\$161 m	-26.1%

## Wealth Technologies

Funds under administration	\$10,056 m	26.3%
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## Notes

- All figures are measured at the end of the last trading day of each month unless otherwise stated.  
Change refers to the change since the same period last year unless otherwise stated.
- Equity market capitalisation includes all securities quoted on the NZX Main Board (NZSX).  
With an overseas domiciled Issuer, the market capitalisation represents the amount that is held in New Zealand.
- Market capitalisation for the NZX Debt Market is the total nominal (face) value of all listed securities, excluding New Zealand Government stock.
- Funds include exchange traded funds, managed funds, listed investment companies and trusts.
- "Other" securities include rights, warrants and options quoted on the NZX Main Board (NZSX).
- Please note these operational statistics are based on NZX's internal records, which are unaudited.  
Differences may arise between this data and that disclosed in subsequent audited financial statements.  
Differences may also arise between this data and the previously reported data due to rounding and classification restatements.

To enquire about information listed on this sheet, or to find out more about NZX products or services, please email: [data@nzx.com](mailto:data@nzx.com)