

These shareholder metrics represent the key operations of NZX's business.

## Core Markets

NZX Listed Issuers	Oct 2024	Change YoY
Total equity securities	122	-4.7%
Total fund securities	56	5.7%
Total retail debt securities	146	-1.4%
Total wholesale debt securities	8	-
Total other securities	3	-25.0%
Total listed securities	335	-1.8%
Total NZX Main Board equity issuers	121	-4.7%
Total NZX Main Board fund issuers	56	5.7%
Total NZX Debt Market retail debt issuers	47	-2.1%
Total NZX Debt Market wholesale debt issuers	2	-
Total other issuers	3	-25.0%
Total unique issuers	202	-1.9%

Market Capitalisation	Oct 2024	Change YoY	% of GDP
Total market capitalisation	\$232,642 m	12.4%	56.3%
All equity	\$164,191 m	15.2%	39.7%
All funds	\$10,754 m	26.1%	2.6%
All other	\$15 m	333.3%	0.0%
Total NZX Main Board	\$174,960 m	15.9%	42.3%
Retail debt	\$42,864 m	3.6%	10.4%
Green bonds	\$7,075 m	2.2%	1.7%
Wholesale debt	\$7,743 m	0.0%	1.9%
Total NZX Debt Market	\$57,682 m	2.9%	14.0%

Cash Market	Oct 2024	Change YoY
Total value traded	\$3,659 m	73.3%
Auction value traded	\$767 m	50.0%
Continuous value traded	\$1,164 m	33.0%
NZX Dark value traded	\$153 m	n/a
% of value on-market	57.0%	-13.2%
Total trades	966,533	34.4%
Daily average value traded	\$166 m	65.4%
Daily average trades	43,933	28.3%

Total Equity Transactions	Oct 2024	Change YoY
Total trades	959,038	34.3%
Value traded	\$3,401 m	79.9%
% of value on-market	61.3%	-12.1%
Average on-market trade size	\$2,182	17.8%

Total Debt Transactions	Oct 2024	Change YoY
Total trades	7,495	26.1%
Value traded	\$258 m	16.4%

Cumulative Numbers - YTD	Oct 2024	Change YoY
Number of trades	7,325,790	-5.2%
Total value traded	\$30,470 m	5.9%
% of value on-market	62.4%	2.9%

Capital Listed and Raised	Oct 2024	YTD	Change YoY
Total capital listed and raised	\$2,325 m	\$13,761 m	4.0%

### New Capital Listed

Total capital listed by all issuers	\$1,470 m	\$4,671 m	-27.0%
Equity listings	-	\$316 m	n/a
Fund listings	\$10 m	\$10 m	-81.1%
Retail debt listings	\$1,460 m	\$4,345 m	-31.5%
Wholesale debt listings	-	-	n/a

### Secondary Capital Raised

Total capital raised by all issuers	\$855 m	\$9,090 m	32.9%
Total equity raised - NZ issuers	\$671 m	\$4,935 m	91.7%
Total equity raised - dual or foreign issuer	\$2 m	\$61 m	9.8%
Total funds raised - NZ issuers	\$179 m	\$1,495 m	-20.5%
Total funds raised - dual or foreign issuer	\$3 m	\$107 m	-77.4%
Total retail debt raised	-	\$2,492 m	34.3%
Total wholesale debt raised	-	-	n/a

Total number of secondary capital raising events	216	1,777	25.1%
Equity issuers - capital raised < \$10m	24	181	-18.5%
Equity issuers - capital raised ≥ \$10m < \$100m	3	21	10.5%
Equity issuers - capital raised ≥ \$100m	3	10	150.0%
Fund issuers - capital raised	186	1,540	34.0%
Retail debt issuers - capital raised	-	25	-7.4%
Wholesale debt issuers - capital raised	-	-	n/a

Clearing House / Depository	Oct 2024	Change YoY
Gross value of cleared trades over month	\$3,671 m	73.4%
Assets under custody in depository at period end	\$8,745 m	17.9%
Depository Uplifts	9,439	5.9%
Settlement Lines	20,667	3.7%
OTC Trades	9,562	47.4%

Derivatives	Oct 2024	Change YoY
Futures - total lots traded	47,231	47.8%
Options - total lots traded	5,871	42.0%
Total derivatives lots traded	53,102	47.1%
Total derivatives lots traded - YTD	556,912	17.1%
Open interest at period end	138,833	19.9%
Notional value traded (\$USD)	\$291 m	19.6%
Options to futures ratio	12.4%	-3.9%
Negotiated Large Trade (NLT) %	62.7%	45.6%

Energy and Environment Contracts	Oct 2024	Change YoY
Operating / Technology contracts	17	-5.6%
- contracts ≥ \$500k p.a.	4	-
- contracts < \$500k p.a.	13	-7.1%

NZX Indices Performance			Wholesale Data		
	Oct 2024	Change YoY		Oct 2024	Change YoY
S&P/NZX 50 Index (Gross)	12,639	17.5%	Terminal numbers - professional	6,182	-4.8%
S&P/NZX 50 Index (Capital)	4,731	14.0%	Terminal numbers - retail	1,356	23.3%
S&P/NZX 50 Portfolio Index	7,836	14.8%	Licences - value ≥ \$20k p.a.	78	18.2%
S&P/NZX NZ Fixed Interest Composite Index	126	10.9%	Licences - value < \$20k p.a.	68	-17.1%
S&P/NZX A-Grade Bond Index	6,129	10.5%			

Direct Data Products			Dairy Data Subscriptions		
	Oct 2024	Change YoY		Oct 2024	Change YoY
Proprietary security subscription products	288	-5.0%	Dairy data subscriptions	417	-4.4%
- products ≥ \$3,000 p.a.	95	-6.9%	- products ≥ \$1,500 p.a.	132	6.5%
- products < \$3,000 p.a.	193	-4.0%	- products < \$1,500 p.a.	285	-8.7%

Smart		
	Oct 2024	Change YoY
Total external funds under management	\$12,939 m	26.5%

Scheme FUM		
	Oct 2024	Change YoY
SuperLife Kiwisaver	\$2,497 m	26.6%
Workplace Savings Master Trusts	\$3,290 m	15.0%
Smart Exchange Traded Funds - External	\$3,344 m	44.8%
Smart Exchange Traded Funds - Total (external and internal)	\$8,889 m	28.2%

Other Metrics		
	Oct 2024	Change YoY
Units on issue	2,800 m	8.2%
Value of stock lent over month	\$225 m	81.5%

Wealth Technologies		
	Oct 2024	Change YoY
Funds under administration	\$15,744 m	41.6%

## Notes

- All figures are measured at the end of the last trading day of each month unless otherwise stated. Change refers to the change since the same period last year unless otherwise stated.
- Equity market capitalisation includes all securities quoted on the NZX Main Board (NZSX). With an overseas domiciled Issuer, the market capitalisation represents the amount that is held in New Zealand.
- Market capitalisation for the NZX Debt Market is the total nominal (face) value of all listed securities, excluding New Zealand Government stock.
- Funds include exchange traded funds, managed funds, listed investment companies and trusts.
- "Other" securities include rights, warrants and options quoted on the NZX Main Board (NZSX).
- Auction value traded refers to trades made during the opening and closing auctions. Continuous value traded refers to on market trades made on NZX Central and NZX Debt Markets during the Normal Trading session. On market value traded refers to all trading excluding off market trades.
- Please note these operational statistics are based on NZX's internal records, which are unaudited. Differences may arise between this data and that disclosed in subsequent audited financial statements. Differences may also arise between this data and the previously reported data due to rounding and classification restatements.

To enquire about information listed on this sheet, or to find out more about NZX products or services, please email: [data@nzx.com](mailto:data@nzx.com) or [insights@nzx.com](mailto:insights@nzx.com)