



17 June 2021

Mr James Gerraty
Manager Issuers, Melbourne
ASX Limited
Level 4, North Tower Rialto
525 Collins Street
MELBOURNE VIC 3000

Dear Mr Gerraty

Appendix 3Y – Mr Shayne Elliott

The attached Appendix 3Y relates to the sale of 7 shares on 23 March 2021 and 8 shares on 25 May 2021 by a custodian company of Netwealth Investments Limited (Netwealth) in the course of providing services for ANZ Private. ANZ Private manages superannuation and investment holdings for Mr Elliott.

The shares were sold due to an administrative error and the subsequent application of an automated sale process to ensure coverage of Netwealth platform fees. The sales occurred without Mr Elliott's knowledge or approval.

Mr Elliott was not advised of the trades until last week upon their being identified by ANZ Private.

ANZ has procedures in place to meet its obligations under ASX Listing Rule 3.19A and the obligations of its directors under section 205G of the Corporations Act. In the case of Mr Elliott, these procedures have now been supplemented in the case of investments held by him through the Netwealth platform to ensure that ANZ shares can no longer be the subject of an automated sale.

Yours sincerely

Simon Pordage
Company Secretary

Approved for distribution by ANZ's Continuous Disclosure Committee

Legal & Corporate Governance

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[Australia and New Zealand Banking Group Limited ABN 11 005 357 522](#)

Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	Australia and New Zealand Banking Group Limited
ABN	11 005 357 522

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Shayne Cary Elliott
Date of last notice	23 December 2020

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Ordinary Shares:

Direct or indirect interest	Direct – 1,260 Indirect – 358,534												
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.													
<ul style="list-style-type: none"> • ANZEST Pty Ltd <ul style="list-style-type: none"> • ANZ Employee Share Acquisition Plan • Elliott Pty Ltd as trustee for Elliott Family Trust • Elliott No 3 Pty Ltd <S & N Elliott S/F A/C> • Netwealth Investments Limited <ul style="list-style-type: none"> • Elliott Pty Ltd as trustee for Elliott Family Trust (229,165 shares) • Elliott No 3 Pty Ltd <S & N Elliott S/F A/C> (58,487 shares) 	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"></td> <td style="width: 20%; text-align: center;">Ordinary Shares</td> <td style="width: 20%; text-align: right;">70,882</td> </tr> <tr> <td></td> <td style="text-align: center;">Ordinary Shares</td> <td style="text-align: right;">0</td> </tr> <tr> <td></td> <td style="text-align: center;">Ordinary Shares</td> <td style="text-align: right;">0</td> </tr> <tr> <td></td> <td style="text-align: center;">Ordinary Shares</td> <td style="text-align: right;">287,652</td> </tr> </table>		Ordinary Shares	70,882		Ordinary Shares	0		Ordinary Shares	0		Ordinary Shares	287,652
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+ See chapter 19 for defined terms.

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Change of Director's Interest Notice

Date of change	a. 23 March 2021 b. 25 May 2021
No. of securities held prior to change	<u>359,794</u>
Class	Ordinary Shares
Number acquired (indirect)	0
Number acquired (direct)	0
Number disposed (indirect)	a. 7 Ordinary Shares b. 8 Ordinary Shares
Number disposed (direct)	0
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	a. \$196.84 b. \$226.40
No. of securities held after change	
Direct – Unchanged	1,260
Indirect	
• ANZEST Pty Ltd	70,882
• ANZ Employee Share Acquisition Plan - Unchanged	
• Netwealth Investments Limited	
• Elliott Pty Ltd as trustee for Elliott Family Trust - Unchanged	229,165
• Elliott No 3 Pty Ltd <S & N Elliott S/F A/C> (decreased by 15)	58,472
Total of interest	<u>359,779</u>
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	15 ordinary shares were sold by Netwealth Investments Limited (Netwealth) due to an administrative error and the subsequent application of an automated sale process to ensure coverage of Netwealth platform fees. The sales occurred without Mr Elliott's knowledge or approval. Mr Elliott was not advised of the trades until last week upon their being identified by ANZ Private.

+ See chapter 19 for defined terms.

Options over unissued ordinary shares:

Direct or indirect interest	Direct – 499,749 Indirect – Nil
Date of change	N/A
No. of options held prior to change	499,749
Class	Options over unissued Ordinary Shares
Number of options acquired (direct)	N/A
Number of options disposed (direct)	N/A
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	N/A
No. of options held after change	
Direct – unchanged	499,749
Indirect – unchanged	Nil
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	N/A

Part 2 – Change of director's interests in contracts - Nil

Part 3 – +Closed period

Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?	Yes.
If so, was prior written clearance provided to allow the trade to proceed during this period?	No. The shares were sold due to an administrative error and the subsequent application of an automated sale process to ensure coverage of Netwealth platform fees. The sales occurred without Mr Elliott's knowledge or approval. Mr Elliott was not advised of the trades until last week upon their being identified by ANZ Private and so no prior written clearance was sought.
If prior written clearance was provided, on what date was this provided?	N/A

+ See chapter 19 for defined terms.