

8 September 2025

Prescribed Person Trading Settings: Notice of Amendments

NZX recently consulted on amendments to its settings governing Participants' obligations in relation to trading activity undertaken by their Prescribed Persons.

As the necessary regulatory approvals have now been received, NZX wishes to notify the market of amendments to the:

- NZX Participant Rules and Procedures
- NZX Derivatives Market Rules and Procedures
- Prescribed Person Trading Guidance Note

These amendments will become effective on Monday 6 October 2025.

Amendments

Mark-ups of the amendments to the Rules, Procedures, and Guidance Note described above are available at the link below:

NZX, New Zealand's Exchange- Upcoming Rules & Guidance Changes

For further information, please contact:

Kristin Brandon Head of Policy & Regulatory Affairs

T: 04 495 5054 M: 027 577 6994

E: kristin.brandon@nzx.com